## BEST AVAILABLE CORY

#### **PCT**

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: (11) International Publication Number: WO 96/25179 A61K 48/00 (43) International Publication Date: 22 August 1996 (22.08.96) (81) Designated States: AU, BR, CA, HU, JP, KR, MX, PL, SG, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, (21) International Application Number: PCT/US96/02136 (22) International Filing Date: 16 February 1996 (16.02.96) IE, IT, LU, MC, NL, PT, SE). (30) Priority Data: **Published** 08/390,700 17 February 1995 (17.02.95) US With international search report. With amended claims. (71) Applicant: PURDUE RESEARCH FOUNDATION [US/US]; Room 328, 1650 Engineering Administration Building, West Lafayette, IN 47907-1650 (US). (71)(72) Applicant and Inventor: BONADIO, Jeffrey [US/US]; 1870 Briar Ridge Drive, Ann Arbor, MI 48108-9576 (US). (72) Inventors: BADYLAK, Stephen, F.; 2610 Nottingham Place, West Lafayette, IN 47906 (US). VOYTIK, Sherry, 113 Red Cloud Trail, #905, Lafayette, IN 47905 (US). (74) Agents: LAMMERT, Steven, R. et al.; Barnes & Thornburg, 1313 Merchants Bank Building, 11 South Meridian Street, Indianapolis, IN 46204 (US).

#### (54) Title: COMPOSITION AND METHOD FOR PRODUCTION OF TRANSFORMED CELLS

#### (57) Abstract

A composition useful for the production of transformed eukaryotic cells is described. The composition comprises submucosal tissue and a nucleic acid sequence. The nucleic acid sequence is typically recombinant DNA including gene(s) encoding for one or more biofunctional proteins. The submucosal tissue component of the present composition comprises the tunica submucosa of vertebrate intestine delaminated from the tunica muscularis and at least the luminal portion of the tunica mucosa. Injection or implantation of the composition into a host induces the formation of transformed cells capable of expressing gene(s) encoded by the nucleic acid sequence.

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

|    | A 1a                     | GB | United Kingdom                         | MW | Malawi                   |
|----|--------------------------|----|--|----|--------------------------|
| M  | Armenia                  | GE | Georgia                                | MX | Mexico                   |
| \T | Austria                  | GN | Guinea                                 | NE | Niger                    |
| NU | Australia                | GR | Greece                                 | NL | Netherlands              |
| BB | Barbados                 | HU | Hungary                                | NO | Norway                   |
| BE | Belgium                  | IE | Ireland                                | NZ | New Zealand              |
| BF | Burkina Faso             | IT | Italy                                  | PL | Poland                   |
| 3G | Bulgaria                 |    | •                                      | PT | Portugal                 |
| ม  | Benin                    | JP | Japan                                  | RO | Romania                  |
| BR | Brazil                   | KE | Kenya                                  | RU | Russian Federation       |
| ΙY | Belarus                  | KG | Kyrgystan Democratic People's Republic | SD | Sudan                    |
| CA | Canada                   | KP | -                                      | SE | Sweden                   |
| F  | Central African Republic |    | of Korea                               | SG | Singapore                |
| :G | Congo                    | KR | Republic of Korea                      | Si | Slovenia                 |
| H  | Switzerland              | KZ | Kazakhstan                             | SK | Slovakia                 |
| I  | Côte d'Ivoire            | u  | Liechtenstein                          | SN | Senegal                  |
| CM | Cameroon                 | LK | Sri Lanka                              | SZ | Swaziland                |
| CN | China                    | LR | Liberia                                | TD | Chad                     |
| CS | Czechoslovakia           | LT | Lithuania                              | TG | Togo                     |
| CZ | Czech Republic           | LU | Luxembourg                             |    | Tajikistan               |
| DE | Germany                  | LV | Larvia                                 | TJ | Trinidad and Tobago      |
| DK | Denmark                  | MC | Monaco                                 | TT |                          |
| EE | Estonia                  | MD | Republic of Moldova                    | UA | Ukraine                  |
| es | Spain                    | MG | Madagascar                             | UG | Uganda                   |
| FI | Finland                  | ML | Mali                                   | US | United States of America |
| FR | France                   | MN | Mongolia                               | UZ | Uzbekistan               |
| GA | Gabon                    | MR | Mauritania                             | VN | Viet Nam                 |

-1-

#### COMPOSITION AND METHOD FOR PRODUCTION OF TRANSFORMED CELLS

#### Field of the Invention

15

20

25

30

35

The present invention relates to the genetic transformation of cells. More particularly, this invention is directed to a method and composition for inducing the production of transformed eukaryotic cells.

#### 10 Background and Summary of the Invention

Recently much research effort has been directed to development of new procedures for introducing nucleic acid sequences into cells. One particular area of focus has been the transformation of cells forming tissues of man and other vertebrate host species to alter the phenotype of the targeted cells. For example, transformation procedures can be used to produce cells that express a biofunctional protein not endogenous to the cell or they can be used to produce cells that express elevated levels of an endogenous, but host deficient, protein. Current methods of introducing exogenous nucleic acid sequences into host tissues requires the harvesting of target cells from the host, transforming harvest cells in vitro with exogenous nucleic acid sequences, and reimplanting the transformed cells into the host.

In accordance with one embodiment of the present invention there is provided a method for introducing nucleic acid sequences into eukaryotic cells in vivo. The method comprises implanting or injecting a novel transformation composition into a host to contact tissue comprising the targeted host cells. The transformation composition comprises intestinal submucosal tissue and a nucleic acid sequence to be introduced into the targeted cell types. Compositions comprising the tunica submucosa and basilar portions of the tunica mucosa of the intestine

-2-

of warm-blooded vertebrates and their use as tissue graft materials in sheet and tubular form is described in U.S. Patent Nos. 4,902,508 and 5,281,422, which patents are expressly incorporated herein by reference. The tissue graft compositions described in those patents are used inter alia for vascular graft constructs and tendon and ligament replacement applications. Fluidized forms of intestinal submucosa are described in U.S. Patent No. 5,275,826 issued January 4, 1994, expressly incorporated herein by reference. Graft compositions comprising intestinal submucosal tissues serve as a matrix for, and apparently help to induce the regrowth of tissues replaced by or in contact with the graft constructs. The present invention is based on the discovery that delivery of exogenous nucleic acid sequences to a focal region of cellular proliferation and regeneration associated with injection or implantation of intestinal submucosal tissue, results in the production of cells containing the nucleic acid sequence and expression of proteins encoded by the nucleic acid sequence. Thus, in accordance with this invention intestinal submucosal tissue, preferably that comprising tunica submucosa and basilar portions of the tunica mucosa delaminated from adjacent tissues of vertebrate intestine, is used as an effective delivery system to introduce exogenous nucleic acid sequences into eukaryotic cells.

10

15

20

25

30

35

The terms "transformed cells" and "transformed tissues" as used herein refers to cells or groups of cells that retain their normal cell cycle, but have new or enhanced phenotypical properties deriving from the presence or expression of exogenous nucleic acid sequences introduced into the cell. The term "exogenous nucleic acid sequences" as used herein refers to any nucleic acid sequence having an origin external to the targeted cells, including recombinant nucleic acid sequences expressed in

-3-

the targeted cells and/or genes not typically expressed in said cells. Genes that are capable of modifying or altering the phenotype of a cell upon introduction into the cell typically encode proteins functional in cell tissue

maintenance and growth, and are generally termed herein as "biofunctional proteins".

Most present procedures for transforming eukaryotic cells rely upon indirect methods: target cells are removed from the body, infected with viral vectors carrying the new genetic information, and then reimplanted. 10 A direct means of transforming eukaryotic cells (in vivo transformation), is preferred, but not feasible under current viral transformation procedures. retroviral vectors are the preferred vehicle for 15 introducing DNA into eukaryotic cells. Retroviral vectors provide a high efficiency of gene transfer into replicating cells. However, the preparation of retroviral vectors requires extensive testing to ensure that no replicationcompetent retroviruses contaminate the vector preparation. 20 Such extensive testing increases the cost of cell transformation procedures. In addition, even after extensive purification of retroviral vectors, the use of these vectors for human applications is still held suspect due to the association between retroviruses and cancer. An 25 additional shortcoming of current retroviral transformation techniques is the inability to directly introduce genetic material into eukaryotic cells in vivo.

One embodiment of the present invention provides in vivo transformation of cells in a host, and thus does not require the removal and reimplantation of host tissue. Such is accomplished by use of a transformation composition including a nucleic acid sequence encoding a biofunctional protein and intestinal submucosal tissue preferably comprising the tunica submucosa, delaminated from the tunica muscularis and at least luminal portions of the

30

PCT/US96/02136 WO 96/25179

-4-

tunica mucosa of vertebrate intestine. Upon implantation or injection such compositions are effective for transforming host cells and/or inducing the production of tissue comprising host cells containing and expressing 5 exogenous nucleic acid sequences. Cells/tissues which can be targeted for transformation in accordance with this invention include musco-skeletal tissues and specifically, cells participating in the regeneration and repair of tendon, ligament, cartilage, bone and other connective tissues.

Thus, one aspect of the present invention is a composition useful for the transformation of eukaryotic cells, the composition comprising nucleic acid sequences, preferably recombinant DNA, and submucosal tissue in solid (e.g., sheet or strips) or fluidized form.

10

15

20

25

30

In another embodiment of this invention there is provided a method for producing transformed eukaryotic cells by contacting target cells with a transformation composition under conditions conducive to proliferation of the target cells.

Still another embodiment of the present invention is a method for inducing the formation of endogenous tissues comprising transformed cells by implanting or injecting a transformation composition, or its respective components independently, to contact tissue containing target cells in vivo.

Additional objects, features and advantage of the invention will become apparent to those skilled in the art upon consideration of the preferred embodiments exemplifying the best mode of carrying out the invention as presently perceived.

## Detail Description of the Preferred Embodiments

There is provided in accordance with this invention a method and composition for producing eukaryotic 35

PCT/US96/02136 WO 96/25179

-5-

cells containing exogenous nucleic acid sequences. Generally the method comprises the step of contacting target cells, in vivo with a vertebrate derived collagenous matrix and an exogenous nucleic acid sequence. Preferably 5 those components are combined together as a transformation composition. The collagenous matrix/nucleic acid transformation compositions of the present invention can be injected or implanted into a host to induce the formation of endogenous tissues comprising transformed cells, wherein the transformed cells contain the exogenous nucleic acid sequences.

10

20

25

30

35

The collagenous matrix can be selected from a variety of commercially available collagen matrices or can be prepared from a wide variety of natural sources of In preferred embodiments the collagenous matrix for use in accordance with the present invention comprises highly conserved collagens, glycoproteins, proteoglycans, and glycosaminoglycans in their natural configuration and natural concentration. Most preferably the collagenous matrix comprises vertebrate submucosa-derived tissue of a warm-blooded vertebrate. This submucosal tissue can be obtained from various sources, including intestinal tissue harvested from animals raised for meat production, including, for example, pigs, cattle and sheep or other warm-blooded vertebrates.

The submucosal tissue used in accordance with the present invention is preferably derived from the intestines, more preferably the small intestine, of a warmblooded vertebrate. Intestinal submucosal tissue typically comprises the tunica submucosa delaminated from the tunica muscularis and at least the luminal portions of the tunica mucosa. In one preferred embodiment of this invention the submucosal tissue comprises the tunica submucosa and basilar portions of the tunica mucosa including the lamina muscularis mucosa and the stratum compactum.

-6-

preparation of submucosal tissue for use in accordance with this invention is described in U.S. Patent No. 4,902,508, the disclosure of which is expressly incorporated herein by reference. A segment of vertebrate intestine, preferably that harvested from porcine, ovine or bovine species is first subjected to abrasion using a longitudinal wiping motion to remove both the outer layers, identified as the tunica serosa and the tunica muscularis, and the innermost layer, i.e., the luminal portions of the tunica mucosa. The submucosal tissue is rinsed with saline, optionally 10 sterilized, and it can be stored in a hydrated or dehydrated state. The use and manipulation of such tissue for the formation of ligament and tendon grafts and the use more generally of such submucosal tissue constructs for inducing growth of endogenous tissues is described and 15 claimed in U.S. Patent No. 5,281,422 issued January 25, 1994, the disclosure of which is expressly incorporated It is also known that intestinal herein by reference. submucosal tissue can be fluidized by comminuting the tissue and optionally subjecting it to enzymatic digestion 20 to form a homogenous solution. The preparation of fluidized forms of intestinal submucosa is described in U.S. Patent No. 5,275,826, the disclosure of which is expressly incorporated herein by reference. Both solid and fluidized forms of intestinal submucosa have been found, 25 upon implantation or injection to induce endogenous remodeling processes including rapid neovascularization, proliferation of granulation mesenchymal cells, resorption of the implanted submucosa tissue and lack of immune rejection. In vivo, implanted submucosa tissue has been 30 found effective to induce the proliferation and growth of cells/tissues with which it is in contact or which it replaces.

The nucleic acid sequence component of the present invention can include deoxyribonucleic acid (DNA)

-7-

and ribonucleic acid (RNA) sequences and may encode genes that are operably linked to regulatory elements necessary for expressing the gene in a eukaryotic cell. The expression of an encoded protein is primarily directed by its promoter, although other DNA regulatory elements are necessary for efficient expression of a gene product. Promoters can be either constitutive or inducible. A constitutive promoter controls transcription of a gene at a constant rate during the life of a cell, whereas an inducible promoter's activity fluctuates as determined by the presence or absence of a specific inducer. Upon introduction into a host cell, a gene encoding sequence linked to a constitutive promoter is expressed to produce it's encoded proteins.

10

15

20

25

30

35

Alternatively the gene encoding sequence can be linked to an inducible promoter. Inducible promoters include any promoter capable of increasing the amount of gene product produced, by a given gene, in response to exposure to an inducer. Inducible promoters are known to those familiar with the art and a variety exist that could conceivably be used to drive expression of a gene. preferred inducible promoter system for use in accordance with the present invention is the glucocorticoid system. The system consists of a gene encoding glucocorticoid receptor protein (GR) which in the presence of a steroid hormone forms a complex with the hormone. This complex then binds to a short nucleotide sequence (26 bp) named the glucocorticoid response element (GRE), and this binding activates the expression of genes linked to the GRE.

In preferred embodiments, the nucleic acid component of the present invention is a DNA sequence, and most preferably a circular DNA sequence. The circular DNA sequence can optionally include sequences allowing replication of the DNA in a bacterial cell (i.e., the nucleic acid is in the form of a plasmid). Linearized

-8-

forms of DNA may likewise be used in accordance with this invention. The nucleic acid sequences of the present invention may encode biofunctional proteins that are absent or deficient in the cell or host, or they may encode proteins that facilitate cellular regeneration and repair including growth factors such as transforming growth factors, insulin growth factors, acidic or basic fibroblast growth factor, platelet derived growth factor, epidermal growth factor, hemopoietic growth factor such as interleukin 3 and the like.

10

15

Alternatively, the nucleic acid sequence component of the present invention may include an antisense nucleic acid sequence, one that is substantially complementary to at least a portion of an endogenous gene sequence, and which functions to interfere with the expression of the complimentary endogenous gene. The nucleic acid sequence component can comprise antisense mRNA itself, or can encode an antisense mRNA.

Although the submucosal tissue and the nucleic acid sequence may be used/administered separately in 20 accordance with this invention, preferably they are combined in the form of a transformation composition. Transformation compositions in accordance with this invention useful for transformation of eukaryotic cells are prepared by combining the nucleic acid sequence with 25 intestinal submucosa. Thus, in one embodiment of the present invention submucosal tissue in sheet or tubular form is combined by soaking the submucosal tissue in a solution comprising the nucleic acid sequences intended for delivery to eukaryotic cells. Impregnation of the 30 submucosal constructs with the nucleic acid sequences can be enhanced by at least partially dehydrating the submucosal tissue prior to introducing it into the nucleic acid solution.

-9-

The submucosal tissue specified for use in accordance with this invention can be used in a fluidized form. Such is prepared by comminuting the submucosa by tearing, cutting, grinding, or shearing the sheet/tubes of harvested submucosa tissue. Thus pieces of intestinal submucosa can be subjected to shear in a high speed blender, or more preferably by grinding the submucosa in a frozen or freeze-dried state to produce a powder that can thereafter be hydrated with water or a buffered saline and 10 optionally other pharmaceutically acceptable excipients to form a submucosal fluid of liquid, gel or paste-like consistency, and thereafter subjected to sterilization. The fluidized submucosa formulation can further be treated with an enzyme, including proteases such as trypsin or pepsin at an acidic pH, for a period of time sufficient to 15 solubilize the submucosal components to provide a homogenous solution of partially solubilized submucosa which can be substituted for other fluidized forms of submucosa for use in accordance with this invention. The 20 fluidized submucosal tissue can be blended with a solution or other source of the desired nucleic acid sequence to form a transformation composition in accordance with this invention.

25 compositions of the present invention can be used in less invasive methods of administration (e.g. injection or topical) to induce the formation of endogenous cells/tissues containing exogenous nucleic acid sequences at the locale of administration. The injectable or "fluidized" compositions in accordance with the present invention can be used in a wide variety of tissue repair or tissue reconstruction applications. In one embodiment eukaryotic cells containing exogenous nucleic acid sequences are produced through contacting target cells in vivo with a transformation composition comprising

-10-

submucosal tissue of a warm-blooded vertebrate in fluidized form and an exogenous nucleic acid sequence. One preferred source of submucosal tissue is intestinal submucosa comprising the tunica submucosa delaminated from the tunica muscularis and at least the luminal portions of the tunica mucosa of warm-blooded vertebrate intestine. In preferred embodiments the transformation composition is injected into a host to contact host cells.

The prepared suspensions and solutions of comminuted submucosal tissue in combination with nucleic 10 acid sequences can be dried to form powderized forms of the present transformation compositions. Several methods are used to produce a transformation composition in powder form, usable in accordance with the present invention. For example, intestine tissue comprising submucosa tissue can 15 be freeze-dried and then ground. Alternatively, intestine tissue comprising submucosa tissue can be processed in a high shear blender to produce, upon dewatering and drying, a powderized form of submucosal tissue. Further grinding of the submucosa powder using a pre-chilled mortar and 20 pestle can be used to produce a consistent, more finely divided product. Liquid nitrogen is used as needed to maintain solid frozen particles during final grinding. Nucleic acid sequences can be added to the fluidized submucosal tissue at any stage of the preparation of the 25 powderized form of submucosal tissue to produce a transformation composition in powder form.

The submucosal tissue of the present invention may be sterilized using conventional sterilization techniques including glutaraldehyde tanning with glutaraldehyde, formaldehyde tanning at acidic pH, propylene oxide treatment, gas plasma sterilization, gamma radiation, electron beam and peracetic acid sterilization. A sterilization technique which does not significantly weaken the mechanical strength, structure and biotropic

30

-11-

properties (induction of endogenous tissue repair) of the submucosal tissue is preferably used. For instance, it is believed that strong gamma radiation may cause loss of strength in the submucosal tissue. Preferred sterilization 5 techniques include exposing the submucosal tissue to peracetic acid, 1-4 Mrads gamma irradiation, more preferably 1-2.5 Mrads of gamma irradiation, and gas plasma sterilization; peracetic acid sterilization being the most preferred method. Typically, the submucosal tissue is subjected to two or more sterilization processes. After the submucosal tissue has been sterilized, the submucosal tissue may be wrapped in a plastic or foil wrap and sterilized again using electron beam or gamma irradiation sterilization techniques. Preferably the submucosal tissue is sterilized prior to combining the submucosal tissue with the nucleic acid sequence.

10

15

The transformation composition in accordance with this invention preferably comprise recombinant DNA in combination with submucosa tissue either in solid sheet or 20 solid tube form or in fluidized form adapted for implantation or injection into a host. Such transformation compositions can be implanted or injected by methods described generally in the aforementioned and incorporated U.S. Patents describing use of intestinal submucosal tissue in sheet, tubular or fluidized form. The transformation 25 composition can be formulated to utilize intestinal submucosa in two or more forms. For example, fluidized submucosa compositions containing a nucleic acid sequence of interest can be injected into and used as a filler for 30 an implant and construct formed, for example, from one or more sheets of intestinal submucosa formed into sealed or sutured pouches or "pillows" for use in cosmetic, therapeutic or trauma related surgical procedures. one transformation composition contemplated in accordance with this invention is a tissue graft construct comprising

-12-

submucosal tissue formed into a sealed pouch and filled with a fluidized submucosal tissue graft composition comprising a suspension of comminuted submucosal tissue or protease digested submucosal tissue and a nucleic acid sequence. Implantation of the transformation composition promotes the proliferation and growth of the cells of tissue and contact with said implanted composition. The transformation composition is gradually resorbed and replaced with endogenous connective tissue comprising cells transformed to express the contained nucleic acid sequence.

#### Example 1

10

Two dogs were each implanted with submucosal tissue soaked in a DNA solution to demonstrate the ability of the transformation compositions to introduce DNA 15 sequences into cells participating in tissue regeneration. The DNA solution comprised a DNA sequence in the form of a plasmid (the pSV beta-galactosidase control plasmid, commercially available from Promega) which encodes for the beta-galactosidase protein. Beta-galactosidase is an 20 excellent reporter enzyme that can be detected quickly by histochemical techniques utilizing the indicator compound 5-bromo-4-chloro-3-indolyl beta D galactoside (X-gal). Mammalian species do not encode beta-galactosidase naturally. The beta-galactosidase used in these 25 experiments was of E. coli origin and was inserted into the pSV vector. The pSV plasmid vector is designed for use as a positive control for monitoring transformation efficiencies of mammalian cells. Procedures for utilizing this plasmid for analyzing transformation efficiencies are well known to those of ordinary skill in the art and are well accepted for establishing transformation efficiencies.

Intestinal submucosal tissue (of porcine origin) was soaked for fourteen days at 4°C in a solution of pSV beta-galactosidase control plasmid (one milligram per ml).

-13-

The soaked submucosal tissue was then implanted as an Achilles tendon graft in the hind leg of a dog. weeks after implantation the implanted material was harvested. The material was fixed in 0.5% glutaraldehyde in phosphate buffered saline. Standard methods were used to search for beta-galactosidase expression in the implanted material. The contralateral Achilles tendon was also harvested and fixed in 0.5% glutaraldehyde in phosphate buffered saline, to served as a control specimen. Results have shown that cells within the submucosal tissue 10 remodeled Achilles tendon expressed the beta-galactosidase enzyme. This expression was observed by histochemical demonstration of the beta-galactosidase enzyme activity (a blue X-gal reaction product). Beta-galactosidase activity 15 was detected within the remodeled connective tissue structures and within adjacent connective tissues that contacted the submucosal tissue. For example, the skeletal tissue within the bone tunnel that was part of the anterior cruciate ligament replacement also expressed the protein. No beta-galactosidase activity was detected within the 20 contralateral Achilles tendon control tissues.

An additional control experiment was also done, in which submucosal tissue alone was fixed and prepared in identical fashion to the remodeled Achilles tendon. The submucosal tissue, in the absence of exogenous DNA sequences, showed no X-gal reaction product.

#### Example 2

25

A second experiment was done in which porcine
origin intestinal submucosal tissue was used in identical
fashion as in example 1. However, the pSV plasmid soaked
material was used as an anterior cruciate ligament graft.
Once again, the submucosal tissue was soaked for two weeks,
implanted in two dogs for three weeks, then harvested. The
contralateral anterior cruciate ligament (ACL) served as a

-14-

control. Results were identical to the Achilles tendon study in which the submucosal implanted material showed expression of the protein in the host derived mononuclear spindle cells. The contralateral control was negative for expression of the X-gal protein reaction product.

#### Example 3

10

15

20

25

A second set of two experiments was done in which the DNA plasmid vector used was BAG. The BAG vector consists of a retroviral genome within a bacterial plasmid backbone. The same two experiments as described in examples 1 and 2 (Achilles tendon and anterior cruciate ligament replacement) were performed using this alternative vector. Results were identical to those described in examples 1 and 2. That is, expression of the beta-galactosidase enzyme was detected in both locations contacted with exogenous DNA impregnated submucosal tissue, whereas the contralateral controls and the SIS alone controls were negative for expression of the protein.

The above experiments demonstrate that the production of cells containing exogenous nucleic acid sequences can be induced by contacting target cells with a transformation composition comprising intestinal submucosal and the exogenous nucleic acid sequence under conditions conducive to the proliferation of said target cells. The intestinal tissue comprises vertebrate tunica submucosa and basilar portions of the tunica submucosa. In preferred embodiments the intestinal tissue comprises the tunica submucosa delaminated from the tunica serosa and at least the luminal portions of the tunica mucosa of vertebrate intestine. Nucleic acid sequences encoding betagalactosidase were introduced into host cells, used host cellular machinery and resulted in expression of a protein which would not otherwise be found in these tissues.

5

10

35

#### Example 4

Numerous disease states can be treated in accordance with this invention by in vivo transformation of cells at sites of tissue regeneration and repair. These include:

- 1. Degenerative joint disease, as occurs in osteoarthritis, requires regeneration of articular cartilage over the surface of eburnated bone. Intestinal submucosal tissue can be utilized to induce transfer and expression of the gene for insulin-like growth factor-1, an anabolic agent for articular cartilage.
- 2. Soft tissue injury, as occurs in athletics (e.g., the Achilles' tendon, the cruciate and collateral ligaments of the knee, or the myotendinous junction of muscles such as the hamstring or gastrocnemius), requires regeneration/repair of injured musculo-skeletal soft tissues. The use of intestinal submucosal tissue may be combined with transfer and expression of the gene for epidermal growth factor (in the case of Achilles' tendon and cruciate ligament injury) or platelet-derived growth factor (in the case of myotendinous junction injury). Each of these growth factors has been shown to stimulate the repair process.
- 25 trauma and with skeletal deficiency disorders such as osteogenesis imperfecta and osteoporosis, requires repair of the fracture site. The use of intestinal submucosal tissue may be combined with transfer and expression of a gene encoding a bone growth factor, such as parathyroid 30 hormone, bone morphogenetic protein, insulin like growth factor-1, and transforming growth factor-beta.
  - 4. Soft tissue ulceration requires regeneration of necrotic foci in the skin and stomach. Skin ulcers occur in diabetes or conditions characterized by atherosclerosis. Stomach ulcers occur in anxiety

-16-

disorders. The use of intestinal submucosal tissue may be combined with transfer and expression of the gene for epidermal growth factor, platelet-derived growth factor, transforming growth factor beta, or fibroblast growth factor to stimulate the repair process and the regeneration of normal histology and function.

removal and regeneration of tissues. For example, regeneration of surgically removed synovial membranes that have been removed because of chronic inflammation, as a result of rheumatoid arthritis, can be enhanced by treatment in accordance with this invention. The use of intestinal submucosal tissue can be combined with transfer and expression of a gene for an immunosuppressive agent to thwart the destructive effects of chronic inflammation.

#### CLAIMS:

10

15

- 1. A method for inducing the production of eukaryotic cells containing exogenous nucleic acid sequences, said method comprising the step of contacting target cells with a transformation composition comprising submucosal tissue of a warm-blooded vertebrate in fluidized form and an exogenous nucleic acid sequence, under conditions conducive to the proliferation of said target cells.
- 2. The method of claim 1, wherein the submucosal tissue is intestinal submucosa comprising the tunica submucosa delaminated from the tunica muscularis and at least the luminal portions of the tunica mucosa of warmblooded vertebrate intestine.
- 3. The method of claim 1, wherein the transformation composition is injected into a host to contact host cells.
- 4. The method of claim 1, wherein the
  20 transformation composition comprises a nucleic acid
  sequence and intestinal submucosal tissue consisting
  essentially of the tunica submucosa, the muscularis mucosa
  and stratum compactum of the intestine of a warm-blooded
  vertebrate.
- 5. The method of claim 1, wherein the exogenous nucleic acid sequence is selected from the group consisting of an RNA sequence or a DNA sequence.
  - 6. The method of claim 5, wherein the exogenous nucleic acid sequence is an antisense nucleic acid sequence.
  - 7. The method of claim 5, wherein the exogenous nucleic acid sequence is circular.
  - 8. The method of claim 5, wherein the nucleic acid sequence comprises a gene operably linked to

regulatory sequences for expressing the gene in the target cells.

- 9. The method of claim 5, wherein the DNA sequence comprises a sequence encoding antisense RNA operably linked to regulatory sequences for expressing the encoded antisense RNA in a eukaryotic cell.
- 10. The method of claim 8, wherein the transformation composition is injected into a vertebrate host to induce the production of host cells containing the DNA sequence, wherein said DNA sequence encodes a host-deficient cellular product.

10

15

20

- 11. The method of claim 9, wherein the transformation composition is injected into a vertebrate host to induce the production of host cells containing the DNA sequence.
- 12. The method of claim 2, wherein the intestinal submucosa comprises the tunica submucosa, the muscularis mucosa and the stratum compactum of the tunica mucosa of a segment of small intestine of a warm-blooded vertebrate, said tunica submucosa, muscularis mucosa and stratum compactum being delaminated from the tunica muscularis and the luminal portion of the tunica mucosa of said segment.
- 13. A composition comprising a suspension of
  25 comminuted intestinal tissue of a warm-blooded vertebrate
  and a nucleic acid, said intestinal tissue comprising the
  tunica submucosa delaminated from both the tunica
  muscularis and the luminal portion of the tunica mucosa, in
  an aqueous medium.
- 30 14. The composition of claim 13 having a viscosity of about 2 to about 300,000 cps at 25°C.
  - 15. The composition of claim 13, wherein said nucleic acid comprises a DNA sequence encoding a gene for a biofunctional protein operably linked to regulatory sequences for expressing the gene in a eukaryotic cell.

-19-

16. The composition of claim 13, wherein said nucleic acid sequence is circular.

- 17. The composition of claim 16, wherein said circular nucleic acid sequence is a plasmid.
- 18. The composition of claim 13, wherein the intestinal submucosa consists essentially of the tunica submucosa and basilar tissue of the tunica mucosa of the intestine of a warm-blooded vertebrate.
- 19. The composition of claim 13, wherein the intestinal submucosa is solubilized by partial hydrolysis.
  - 20. An injectable, non-immunogenic tissue graft comprising comminuted or solubilized submucosal tissue in combination with a DNA sequence encoding a gene for a biofunctional protein.
- 21. The transformation composition of claim 20, wherein said circular nucleic acid sequence is a plasmid.

20

- 22. A method for inducing the production of eukaryotic cells containing exogenous nucleic acid sequences, said method comprising the step of contacting target cells with a transformation composition comprising a vertebrate derived collagenous matrix in fluidized form and an exogenous nucleic acid sequence, under conditions conducive to the proliferation of said target cells.
- 23. The transformation composition of claim 22, wherein said nucleic acid sequence is a plasmid.
- 24. The method of claim 22, wherein the exogenous nucleic acid sequence is an antisense nucleic acid sequence.
- 25. The method of claim 22, wherein the nucleic acid sequence comprises a gene operably linked to regulatory sequences for expressing the gene in the target cells.

AMENDED CLAIMS

[received by the International Bureau on 12 July 1996 (12.07.96); original claims 16 and 22-25 cancelled; original 5,7,17 and 21 amended; original claims 17-21 renumbered as claims 16-20; remaining claims unchanged (3 pages)]

- A method for inducing the production of eukaryotic cells containing exogenous nucleic acid
   sequences, said method comprising the step of contacting target cells with a transformation composition comprising submucosal tissue of a warm-blooded vertebrate in fluidized form and an exogenous nucleic acid sequence, under conditions conducive to the proliferation of said target
   cells.
  - 2. The method of claim 1, wherein the submucosal tissue is intestinal submucosa comprising the tunica submucosa delaminated from the tunica muscularis and at least the luminal portions of the tunica mucosa of warmblooded vertebrate intestine.
  - 3. The method of claim 1, wherein the transformation composition is injected into a host to contact host cells.

15

- transformation composition comprises a nucleic acid sequence and intestinal submucosal tissue consisting essentially of the tunica submucosa, the muscularis mucosa and stratum compactum of the intestine of a warm-blooded vertebrate.
- 25 5. The method of claim 1, wherein the exogenous nucleic acid sequence is a DNA sequence.
  - 6. The method of claim 5, wherein the exogenous nucleic acid sequence is an antisense nucleic acid sequence.
- 7. The method of claim 5, wherein the exogenous nucleic acid sequence is a plasmid.
  - 8. The method of claim 5, wherein the nucleic acid sequence comprises a gene operably linked to regulatory sequences for expressing the gene in the target cells.

- 9. The method of claim 5, wherein the DNA sequence comprises a sequence encoding antisense RNA operably linked to regulatory sequences for expressing the encoded antisense RNA in a eukaryotic cell.
- 10. The method of claim 8, wherein the transformation composition is injected into a vertebrate host to induce the production of host cells containing the DNA sequence, wherein said DNA sequence encodes a host-deficient cellular product.
- 11. The method of claim 9, wherein the transformation composition is injected into a vertebrate host to induce the production of host cells containing the DNA sequence.
- 12. The method of claim 2, wherein the
  intestinal submucosa comprises the tunica submucosa, the
  muscularis mucosa and the stratum compactum of the tunica
  mucosa of a segment of small intestine of a warm-blooded
  vertebrate, said tunica submucosa, muscularis mucosa and
  stratum compactum being delaminated from the tunica
  20 muscularis and the luminal portion of the tunica mucosa of
  said segment.
  - 13. A composition comprising a suspension of comminuted intestinal tissue of a warm-blooded vertebrate and a nucleic acid, said intestinal tissue comprising the tunica submucosa delaminated from both the tunica muscularis and the luminal portion of the tunica mucosa, in an aqueous medium.
  - 14. The composition of claim 13 having a viscosity of about 2 to about 300,000 cps at 25°C.
- 15. The composition of claim 13, wherein said nucleic acid comprises a DNA sequence encoding a gene for a biofunctional protein operably linked to regulatory sequences for expressing the gene in a eukaryotic cell.
- 16. The composition of claim 13, wherein said nucleic acid sequence is a plasmid.

5

- 17. The composition of claim 13, wherein the intestinal submucosa consists essentially of the tunica submucosa and basilar tissue of the tunica mucosa of the intestine of a warm-blooded vertebrate.
- 18. The composition of claim 13, wherein the intestinal submucosa is solubilized by partial hydrolysis.
- 19. An injectable, non-immunogenic tissue graft comprising comminuted or solubilized submucosal tissue in combination with a DNA sequence encoding a gene for a biofunctional protein.
- 20. The transformation composition of claim 19, wherein said DNA sequence is a plasmid.

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US96/02136

| IPC(6)        | ASSIFICATION OF SUBJECT MATTER<br>:A61K 48/00  |   |                                |
|---------------|--|---|--------------------------------|
| According     | :514/44; 435/320.1; 424/93.21<br>to International Patent Classification (IPC) or to b  | atheres to the state of the same  |                                |
| B. FIE        | ELDS SEARCHED  | our national classification and IPC   |                                |
|               | documentation searched (classification system follo  | wad by alastic of the last  |                                |
| U.S. :        | 514/44; 435/320.1; 424/93.21   | wed by classification symbols)  |                                |
|               |  |   |                                |
| Document      | stion searched other than minimum documentation to   | the extent that such documents are include  | d in the fields seconded       |
|               |  | are metage  | a ni die ficial sericuea       |
|               |  |   |                                |
| APS, MI       | data base consulted during the international search EDLINE, BIOSIS, EMBASE, CAPLUS   | (name of data base and, where practicable   | e, search terms used)          |
| C. DOO        | CUMENTS CONSIDERED TO BE RELEVANT  |   |                                |
| Category*     | Citation of document, with indication, where   | appropriate, of the relevant passages   | Relevant to claim No.          |
| Υ, <b>P</b>   | Science, Volume 269, issued 25 ET AL., "Gene Therapy's Growing see entire document.  | August 1995, MARSHALL<br>g Pains", pages 1050-1055,   | 1-25                           |
|               | WO, A, 92/15676 (THE SALK IN<br>STUDIES) 17 September 1992, s  | STITUTE FOR BIOLOGICAL see entire document.   | 1-25                           |
|               | WO, A, 93/19660 (BAYLOR CO<br>October 1993, see entire docum   | OLLEGE OF MEDICINE) 14 ent.   | 1-25                           |
|               | US, A, 5,281,422, (BADYLAK ET see entire document.   | Γ AL.) 24 September 1994,   | 1-25                           |
| Furthe        | or documents are listed in the control of the contr |   |                                |
|               | er documents are listed in the continuation of Box   | C. See patent family annex.   |                                |
| docu          | ini categories of cited documents:<br>sment defining the general state of the art which is not considered<br>to of particular relevance  | *T* later document published after the inter<br>date and not in conflict with the applicat<br>principle or theory underlying the inver  | On but cited to understand the |
|               | er document published on or after the international filing date  | *X* document of particular relevance; the claimed invention cannot be<br>considered novel or cannot be considered to involve an inventive step<br>when the document is taken alone  |                                |
| docu<br>cited | ment which may throw doubts on priority claim(s) or which is<br>to establish the publication date of another citation or other<br>isl reason (as specified)  |   |                                |
|               | ment referring to an oral disclosure, use, exhibition or other   | "Y" document of particular relevance; the claimed invention cannot be<br>considered to involve an inventive step when the document is<br>combined with one or more other such documents, such combination<br>being obvious to a person skilled in the art |                                |
| шер           | ment published prior to the international filing date but later than<br>riority date claimed   | *&* document member of the same patent for  |                                |
| e of the ac   | ctual completion of the international search   | Date of mailing of the international sear   | ch report                      |
| APRIL 1996    |  | 13 MAY 1996   |                                |
| ne and ma     | iling address of the ISA/US  | Authorized officer  | - FO 1/0- 1                    |
| x PCT         | r of Patents and Trademarks  | N N N N N N N N N N N N N N N N N N N   | TUW/                           |
| simile No.    | D.C. 20231<br>(703) 305-3230   | ANDREW MILNE  | / ]                            |
|               | (.44) 503-3230   | Telephone No. (703) 308-0196  |                                |

## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/02136

|           | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
|-----------|--|-----------------------|
| Category* | Channi di document anni mananoni, anni akkariani et an   | <del> </del>          |
| <u>.</u>  | 40th Annual Meeting of the Orthopaedic Research Society, February 21st-24th, 1994, ZHU ET AL., "Direct Gene Transfer Into Regenerating Achilles' Tendon", page 14-3, see entire abstract.  1-5, 7-25 6 |                       |
| <u>.</u>  | Gene Therapy Meeting, Cold Spring Harbor, September 21-25, 1994, BONADINO ET AL., "Direct Gene Transfer Into Skeletal Muscles In Vivo", Conference Abstract, see entire document.                      | 1-5, 7-25<br><br>1-25 |
| 7         | Muscles in Vivo", Conference Abstract, see entire document.  | 1 23                  |
| ;         |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           | ·  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  | 1                     |
|           |  |                       |
|           |  |                       |
|           |  |                       |
|           |  |                       |

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

| Defects in the images include but are not limited to the items checked: |
|---|
| ☐ BLACK BORDERS   |
| ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES                                 |
| FADED TEXT OR DRAWING   |
| BLURRED OR ILLEGIBLE TEXT OR DRAWING                                    |
| ☐ SKEWED/SLANTED IMAGES   |
| ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS                                  |
| ☐ GRAY SCALE DOCUMENTS  |
| LINES OR MARKS ON ORIGINAL DOCUMENT                                     |
| ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY                 |
| OTHER.  |

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.